

DOCKET NO.: AED-0003

*Zond*  
PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Matthew Barrer

Application No.: 10/734,220

Filing Date: December 15, 2003

For: METHOD OF IMPLEMENTING A CARDIAC EMERGENCY READINESS  
PROGRAM

Confirmation No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

DATE OF DEPOSIT: *January 3, 2007*

I HEREBY CERTIFY THAT THIS PAPER IS BEING  
DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID,  
ON THE *DATE* INDICATED ABOVE AND IS  
ADDRESSED TO THE UNITED STATES PATENT AND  
TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA,  
VA 22313-1450

*Steven J. Rocci*  
TYPED NAME: Steven J. Rocci  
REGISTRATION NO.: 30,489

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:
  - Certification in Accordance with § 1.97(e) is attached; or
  - The fee of \$180.00 as set forth in § 1.17(p) is attached.
- In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).
- Copies of reference numbers **1 thru 37** listed on the attached Form PTO-1449 are enclosed herewith.
- Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).
- Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date:

January 3, 2007

  
Steven J. Roccia  
Registration No. 30,489

WOODCOCK WASHBURN LLP  
Cira Centre  
2929 Arch Street, 12th Floor  
Philadelphia, PA 19104-2891  
Telephone: (215) 568-3100  
Facsimile: (215) 568-3439



<b>Form PTO-1449 Modified</b> List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>AED-0003</b>	Application No. <b>10/734,220</b>
		Applicant <b>Matthew Barrer</b>	
		Filing Date <b>December 15, 2003</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>1</b>	"Links for Life: How We can Help You take Action-Setting up a Health Safety Program at a Golf Club"- Brief Article, <i>Golf Digest</i> , October 1999, 1 page	
	<b>2</b>	Internet Archive Wayback Machine- Searched for <a href="http://www.avantech-heartsafe.com">http://www.avantech-heartsafe.com</a> , 2001, 1 page	
	<b>3</b>	"Create a HEART SAFE ZONE with Life Saving Tools for Life Saving Teams", AvanTech, Inc., 1998, 2 pages, <a href="http://web.archive.org">http://web.archive.org</a>	
	<b>4</b>	Thompson, R.I., "AEDs: Are You Following Best Practices", Seton Identification and Safety Experts, 2003, 4 pages, <a href="http://www.seton.com">http://www.seton.com</a>	
	<b>5</b>	"The Right Thing to Do-The Gillette Company's Workplace Defibrillation Program", Compliant Best Practices & Case Studies, Gillette Company, 2003, 4 pages, <a href="http://web.archive.org">http://web.archive.org</a>	
	<b>6</b>	Sarkis, K., "New Technology puts these Lifesaving Devices into the Hands of your Employees", 2004, 4 pages, <a href="http://occupationalhazards.com">http://occupationalhazards.com</a>	
	<b>7</b>	"Compliant Launches New Emergency Medical Response System", <i>PR Newswire</i> , May 8, 2000, <a href="http://proquest.umi.com">http://proquest.umi.com</a> , 3 pages	
	<b>8</b>	Internet Archive Wayback Machine- Searched for <a href="http://www.compliant.com">http://www.compliant.com</a> , 2001, 1 page	
	<b>9</b>	Trademark Electronic Search System (TESS), Word Mark-COMPLIMENT, 2 pages, 2004	
	<b>10</b>	Riddle, K.D., "AEDs Increase in Las Vegas, Hotels", 1998, 2 pages	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office	Docket No. <b>AED-0003</b>	Application No. <b>10/734,220</b>
	Applicant <b>Matthew Barrer</b>	
	Filing Date <b>December 15, 2003</b>	Group <b>Not Yet Assigned</b>

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	<b>11</b>	Becker, L. et al., "Public Locations of Cardiac Arrest: Implications for Public Access Defibrillation", <i>1998, American Heart Association</i> , 4 pages
	<b>12</b>	"Saving Lives, Automated External Defibrillators give New Meaning to the Phrase "Customer Service", <i>Boyd Gaming, 1997</i> , 24-29
	<b>13</b>	Versweyveld, L., "Complient's New Emergency Medical Response System to Cover 95% of Workplace Injuries", <i>Virtual Medical Worlds, 2000</i> , 3 pages
	<b>14</b>	Feld, F., "Editorial: Are You Ready for Sudden Cardiac Death?", <i>Journal of Athletic Training, 2001</i> , 36(2), 3 pages
	<b>15</b>	Pearson, T.A. et al., "American Heart Association Guide for Improving Cardiovascular Health at the Community Level", <i>Circulation, 2003</i> , 107, 645-651
	<b>16</b>	Awar, M.M. et al., "Advanced Cardiac Life Support-Reviewing Recommendations from the AHA Guidelines", <i>CME Geriatrics, The Heart, 2003</i> , 58(11), 8 pages
	<b>17</b>	"NEJM Reports Two Studies Proving Portable Defibrillators Save Lives when Quickly used by Trained Non-Medical Responders", <i>2000</i> , 3 pages, <a href="http://www.medtronic.com">http://www.medtronic.com</a>
	<b>18</b>	Valenzuela, T.D. et al., "Outcomes of Rapid Defibrillation by Security Officers after Cardiac Arrest in Casinos", <i>The New England Journal of Medicine, 2000</i> , 343, 12 pages
	<b>19</b>	Herry, S. et al., "Public Use of Automated External Defibrillators", <i>The New England Journal of Medicine, 2002</i> , 8 pages
	<b>20</b>	"Public Access Defibrillation: the Ghost and the Machine", Vermont Department of Health-Agency of Human Services, <i>2001</i> , 3 pages

**EXAMINER**
**DATE CONSIDERED**

<b>Form PTO-1449 Modified</b>		Docket No. <b>AED-0003</b>	Application No. <b>10/734,220</b>
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>Matthew Barrer</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>December 15, 2003</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>21</b>	Thompson, R.I., "Beyond Compliance: Implementing a Life-Saving AED Program", <i>Compliance Magazine, January 2002</i> , 1 page, <a href="http://www.compliment.com">www.compliment.com</a>	
	<b>22</b>	Grady, D., "Defibrillators Let Lay People Save Lives", <b>October 26, 2000</b> , New York Times, Inc., <a href="http://padl.org">padl.org</a> articles, 4 pages	
	<b>23</b>	Kapes, B.A., "New England Journal of Medicine Study Supports AED Use", <b>2000</b> , 3 pages	
	<b>24</b>	Opalack, C., "Operation Heartsaver: Proving Safety Programs have a Pulse in the Rail Industry", <i>Compliant</i> , <a href="http://www.compliant.com">www.compliant.com</a> , 2 pages	
	<b>25</b>	Obertots, R., "Medical Emergency Preparedness Check List for Professional Meeting Planners", <i>Medical Meetings, July 1, 2000</i> , <a href="http://www.medicalmeetings.net">www.medicalmeetings.net</a> , 2 pages	
	<b>26</b>	Chinappi, A., "Code Blue: Are You Prepared?", <i>Medical Meetings, August 1, 2000</i> , <a href="http://www.medicalmeetings.net">www.medicalmeetings.net</a> , 63-66	
	<b>27</b>	<a href="http://www.meetingmed.com">www.meetingmed.com</a> , <b>December 1, 1999</b> , 1 page Home page	
	<b>28</b>	<a href="http://www.safemeetings.com">www.safemeetings.com</a> , <b>October 12, 1999</b> , Site is currently under construction, <b>November 28, 2006</b>	
	<b>29</b>	Fitzenberger, J., "State Gives Law Officers Defibrillators to Save more Lives", <i>Start Tribune, December 31, 1998</i> , 4 pages	
	<b>30</b>	"Amtrak Couples with Compliant to Save Train Passenger Lives", <i>PR Newswire, September 25, 2000</i> , 2 pages	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	

<b>Form PTO-1449 Modified</b>		Docket No. <b>AED-0003</b>	Application No. <b>10/734,220</b>
List of Patent and Publications Cited by Applicant (Use several sheets if necessary)		Applicant <b>Matthew Barrer</b>	
U.S. Department of Commerce Patent and Trademark Office		Filing Date <b>December 15, 2003</b>	Group <b>Not Yet Assigned</b>
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>31</b>	Tadjer, R., "Better Medicine: Health Care Providers look to the Net", <i>Informationweek, March 6, 2000</i> , 128-134	
	<b>32</b>	"Heartstream: Mirage Resorts Equips Security Personnel with Life-Saving Defibrillators for Treating Victims of Sudden Cardiac Arrest", <i>BusinessWire, 1999</i> <b>Publication cannot be located</b>	
	<b>33</b>	Cate, M., "First Aid: Frontline Safety Bets on Heart Device", <i>Nashville Business Journal, December 1, 2000</i> , 1 page	
	<b>34</b>	Dallas American Red Cross, <a href="http://www.archive.org/redcrossdallas.org">www.archive.org/redcrossdallas.org</a> , <b>August 23, 2000- January 1, 2001, Page is no longer available</b>	
	<b>35</b>	Premedics: Corporate AED Solutions. Equipment, Training, and Program Services, <a href="http://www.premedics.com">www.premedics.com</a> , Home Page, <b>2001</b> , 1 page	
	<b>36</b>	<a href="http://www.compliant.com">www.compliant.com</a> , <b>Web Page is unavailable</b>	
	<b>37</b>	StarCite™ OnVantage, <a href="http://www.onvantage.com">www.onvantage.com</a> , Home Page, <b>2006</b> , 1 page	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	